

01 **Chapter 11**
02 **The Neural Representation of Kinematics**
03 **and Dynamics in Multiple Brain Regions:**
04 **The Use of Force Field Reaching Paradigms**
05 **in the Primate and Rat**
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10 **Joseph T. Francis**
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12
13 **Abstract** In this chapter I will review the past and present motor control
14 literature with an emphasis on reaching movements. Debate still rages as to
15 what movement related variables are controlled by the neural motor control
16 system especially concerning dynamic vs. kinematic variables. There is a rich
17 history in the motor control literature that has employed monkeys of several
18 species making reaching movements while holding loaded or robotic manipu-
19 landum. However, to date very little work has been conducted using the
20 rat reaching paradigm to investigate the control of dynamics vs. kinematics.
21 I will review a very simple rat robotic manipulandum paradigm and initial
22 results from it. This paradigm is presently being expanded from a 1 degree of
23 freedom system similar to those used in early primate research to a 2 and even
24 3 degree of freedom version, such as those used with primates and humans
25 today.
26

27 **Keywords** Motor learning · brain machine interface · thalamus · somatotopic ·
28 somatosensory prosthesis
29

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31 **11.1 Introduction**
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33 Much of the mammalian nervous system has evolved around a common set of
34 basic needs, such as obtaining food and evading predators. What elements are
35 needed to carry out such basic operations by an animal? The animal must be
36 able to sense the food object, or predator, and be able to reach/grasp or move to
37 the food, or flee from the predator. Having several senses would certainly be
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01 beneficial to the animal as it could use correlations between the senses to learn
02 about its environment and its own self generated movement. Even single celled
03 protozoans, such as paramecium and euglena, have multiple senses such as
04 chemical (taste/smell), Photo (sight), electric field, gravitational and mechanical
05 sensors. Animals also need to be able to move to act on the sensory information,
06 and in general animals learn to make certain sensory predictions based on their
07 own motor commands. When these predictions are incorrect learning should
08 takes place.

09 How does our nervous system use this sensory information and execute
10 movements, and in what form is the information encoded within the neural
11 substrate? Obviously I will not be able to fully discuss these questions in this
12 chapter; however, I will attempt to review those aspects that stem from our
13 sense of proprioception, as it stems from mechanical receptors, the theme of this
14 book. Specifically, I will focus on the neural representation of dynamics (force
15 related) and kinematics (position related) neuronal activity, or at least neural
16 activity that correlates well with these two groups of variables. In addition,
17 I will be limiting my discussion to primates and the rodent, as well as making
18 comparisons between these two.

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21 ***11.1.1 Reaching Movements***

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23 I am going to use targeted reaching movements as the basic sensory motor
24 task for our discussion. Reaching to grasp an object involves a great deal of
25 neural processing, ranging from the computations that relate external space to
26 our intrinsic motor apparatus, to sensing the size and compliance of target
27 objects. The sensory motor control system involves interaction between feed-
28 forward and feedback signals. The CNS uses visual, proprioceptive and
29 cutaneous information to make natural reaching movements and to facilitate
30 motor learning (Polit and Bizzi 1979; Lackner and Dizio 1994; Ghez et al.
31 1995; Gordon et al. 1995; Sarlegna et al. 2004; Sober and Sabes 2005). More-
32 over, the sensory motor control system can switch between these sensory
33 modalities in relation to their reliability and the goals of the task (Sarlegna
34 et al. 2003; Sober and Sabes 2003; Sarlegna et al. 2004; Scheidt et al. 2005;
35 Sober and Sabes 2005). Whereas vision can guide the kinematics of move-
36 ment, it cannot easily detect the loads that must be overcome. The proprio-
37 ceptive system can detect loads as well as information about the arms position,
38 velocity and acceleration. However, it has been suggested that the proprio-
39 ceptive system drifts (Wann and Ibrahim 1992) over time unless it is inter-
40 mittently reset via visual or tactile input. There is still much debate as to the
41 cause of proprioceptive drift as well as its extent. The ability of visual input to
42 ameliorate such drifting and its overall influence on reaching is apparent in
43 individuals with large fiber neuropathy (Ghez et al. 1995; Gordon et al. 1995).
44 However, these same individuals make gross errors when vision is occluded. It
45 is obvious that both vision and proprioception play vital roles in motor

01 control. Thus, reaching movements normally depend on visual, propriocep-
02 tive as well as tactile information for humans, and primates in general
03 (Lackner and Dizio 1994; Graziano 1999).

04 For the past several decades neurophysiologists and psychophysicists have
05 worked to elucidate the control mechanisms employed by the brain and spinal
06 cord that allow us and other animals to move voluntarily with a great degree of
07 plasticity. Much of this work has been dedicated to determining which variables
08 of movement we specifically control. In addition, there has been a great deal of
09 debate as to what specific brain regions code for which of these variables.
10 However, many frontoparietal brain regions have been shown to encode
11 movement related parameters such as the target (Andersen et al. 1985) and
12 hand positions, (Tillery et al. 1995; Battaglia-Mayer et al. 2000; Graziano et al.
13 2000; Buneo et al. 2002; Taylor et al. 2002; Carmena et al. 2003) direction
14 (Georgopoulos et al. 1982), velocity (Moran and Schwartz 1999b) and force
15 (Kalaska et al. 1989; Alexander and Crutcher 1990b; Crutcher and Alexander
16 1990; Li et al. 2001; Scott et al. 2001; Xiao et al. 2006). A more correct statement
17 would be that correlations have been found between the aforementioned vari-
18 ables and neural activity. To date there is still heated debate as to whether the
19 motor cortex and other motor related regions are encoding kinematic, or
20 dynamic related variables, as well as what coordinate system these regions
21 use, such as extrinsic vs. intrinsic coordinate systems. Similar types of questions
22 have been raised regarding information carried in the spinal cord from periph-
23 eral mechanoreceptors such as muscle spindles and joint receptors (Bosco and
24 Poppele 2001; Stein et al. 2004).

25 For rodents, such as the rat and mouse, making reaching movements involve
26 a somewhat different situation than that for primates, as it has been suggested
27 they use olfaction (Whishaw and Tomie 1989) to locate the target object and
28 most likely can't see their forepaws within a large region of the forepaw range of
29 motion. In addition to olfaction, they do have the sense of proprioception, and
30 whiskers, both on their snout as well as whisker like guard hairs on their wrists.
31 Traditionally researchers have used human or non-human primates for the
32 study of targeted reaching movements; however, with the ability to genetically
33 manipulate mice, the low cost associated with rodent work, and the large
34 number of animal models based on the rat and mouse there has been a surge
35 in the use of rodent reaching paradigms. Our understanding of the rodent
36 motor control system is still lagging that of primates, for instance, we have
37 only recently identified the major proprioceptive relay nucleus in the rat thalamus,
38 something that has been long known and studied in the primate (Francis
39 et al. 2008). Our knowledge on the rat's visual system is also lagging as far as
40 the functional neurophysiology. There is a good deal of evidence that primates
41 make their reaching plan in a visual coordinate system, but what about the
42 rat? Do rats plan reaches in a whisker, olfactory, visual, or some other
43 coordinate system? This is an open question which we hope to address in the
44 near future.
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11.1.2 The Robotic Manipulandum and the Force Field Paradigm

During a natural reaching movement there is often a large degree of correlation between several movement related variables. If our goal is to determine what movement related variables the different brain regions encode we need to decouple these inherent correlations, and it is for this reason that the loaded and robotic manipulandum have been developed.

Center out reaching paradigm: In the lower half of Fig. 11.1 is a cartoon of an individual holding onto one type of endpoint robotic manipulandum (bottom) that moves in the horizontal plane. In this widely used paradigm subjects are instructed to make a reaching movement to one of the eight peripheral targets seen at the top of the figure, starting all reaches from the center target. This is the standard center out reaching paradigm. Only one reaching target is cued at a time and may require the subject to wait before a go cue is given to actually make the overt reaching movement. The targets may be projected onto the same plane that the subject is reaching in, or can be represented on a computer monitor placed directly in front of the subject with a visual feedback computer cursor representing the position of the hand. This hand position is read from joint encoders on the manipulandum.

By using robotic systems we can ask questions about both kinematic and dynamic related variables while changing the sensory motor transformations necessary for the subject to complete the task. For instance, one can have the manipulandum produce a velocity dependant curl field, which produces forces tangential to the direction of motion and proportional to the speed, and is complex enough that the subject does not explicitly know what has changed

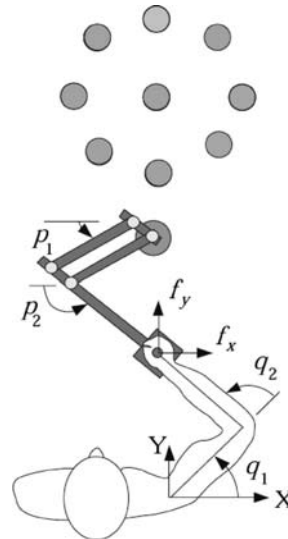


Fig. 11.1 A cartoon of the center out reaching paradigm. A subject, *bottom*, holds onto a robotic manipulandum, *top*. Shown are the joint angles for the subject and manipulandum and forces at the handle

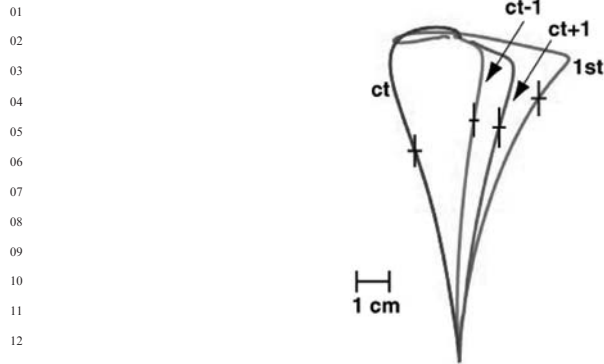


Fig. 11.2 Plotted are the mean reaching trajectories for a group of subjects during the curl field paradigm. During the first fielded movement (1st) the subjects' movements are severely deviated to the right. After learning (ct-1) the movements become straighter indicating the subjects have learned to compensate for the force field. During certain movements the force field was turned off (ct) causing a deviation to the left, that is an error in reaching. This error causes learning to occur, which can be tracked on a trial-by-trial basis, as seen by the difference between ct-1 and ct+1. (Taken from (Thoroughman and Shadmehr 2000) with permission)

(Shadmehr and Mussa-Ivaldi 1994). When first exposed to such a field subject's movements are severely displaced as seen in Fig. 11.2 labeled as 1st. However, after learning (labeled ct-1) the subjects' trajectories become fairly straight as those seen before the force field was turned on. Thus, we now have a situation where the kinematics of the movements are similar, but the forces needed to make the movement are very different. We can also secretly turn the force field off, called a catch trial (ct), as the electric motors of such robotic systems produce no discernable noise for the subjects to use as cues. These ct induce large movement errors and can be used to probe how the sensory motor control system learns on a trial-to-trial basis (Thoroughman and Shadmehr 2000; Donchin et al. 2003; Francis 2007). These robotic systems can also be used to change the mapping between the subjects hand and the visual feedback cursor, as well as combining force and these visual perturbations. In Section 11.3 of this chapter we discuss results from several neurophysiological studies using the robotic manipulandum.

11.2 Proprioception

11.2.1 Peripheral Mechanoreceptors

Proprioception is defined as the sense of joint position while Kinesthesia is the sense of joint motion. However, often these terms are intermingled and for the

01 purpose of this chapter I will use the term proprioception to indicate the sense
02 of position and motion of ones body derived from mechanosensitive structures.
03 In the skin there are six types of mechanoreceptors, Meissner's corpuscles,
04 Merkel's endings and nerve endings around hair follicles, all of which are pre-
05 dominantly involved in the sense of touch. In addition, Ruffini endings sense
06 pressure while Pacinian corpuscles sense vibration. There are also free nerve
07 endings that sense pain, temperature and touch, for review see (Nolte 2002).
08 It has been suggested that the skin with these receptors can add to the sense
09 of proprioception (Moberg 1983) when the skin is deformed due to our own
10 movement.

11 Although the skin may take part in proprioception, the majority of this
12 information comes from two types of mechanoreceptors, **Golgi tendon organs**
13 (**GTOs**) and **muscle spindles** (for great interactive models of both these receptor
14 types please see Arthur Prochazka's web page, [www.ualberta.ca/~aprochaz/
15 research_interactive_receptor_model.html](http://www.ualberta.ca/~aprochaz/research_interactive_receptor_model.html)). Golgi tendon organs are located at
16 the connection between muscles and their tendons. They are comprised of
17 collagen surrounded by a sheath called a capsule. Inserted into the collagen
18 matrix are the arborized endings of a 1b afferent sensory nerve fiber. When the
19 muscle contracts it pulls on the capsule, which causes the collagen to squeeze the
20 nerve endings, leading to the generation of action potentials. This response is
21 slowly adapting. In general, one can think of the GTOs as the motor control
22 systems force feedback receptor, or force transducer. It was once thought that
23 these receptors only conveyed information when a muscle was stretched to
24 within its limits, and thus functioned as a warning system. However, this false
25 interpretation came about due to the fact that when passively stretching an
26 entire muscle, such as the biceps, the amount of force across any single muscle
27 unit and its GTOs is rather small. This is in contrast to when that muscle unit is
28 actively contracting. Thus, GTOs relay information preferentially about forces
29 that are actively generated.

30 Just as GTOs are the force transducers of the motor control system we can
31 think of **muscle spindles** as the motor control system's encoders relaying infor-
32 mation on position, velocity and acceleration. Briefly, muscle spindles are
33 comprised of intrafusal (with spindles) muscle fibers, which are connected to
34 extrafusal (without spindles) muscle fibers that are responsible for generating
35 force. The central region of the intrafusal fiber is encapsulated within a fluid
36 filled spindle. Sensory endings make attachments to this non contractile central
37 region of the intrafusal fibers within the spindle, and are stimulated when the
38 fiber is stretched that is when the muscle is lengthened. In addition, outside the
39 spindle region the intrafusal fibers have contractile regions that can be stimu-
40 lated via the fusimotor system, also called the gamma motor system. This
41 gamma stimulation adds tension to the spindle and can come in two forms,
42 static and dynamic activation that can be used to increase the bias or gain of the
43 spindle.

44 There are two major types of sensory endings innervating the spindles, Ia
45 (primary) and II (secondary). The Ia afferents are large diameter fast conducting

01 and convey velocity and acceleration information to a larger extent than posi-
02 tion, which is preferentially conveyed by type II afferents that are smaller and
03 conduct action potentials at a slower speed. There are three types of intrafusal
04 fibers within the spindle, nuclear bag 1, which are activated by gamma dynamic
05 input, nuclear bag 2 (static) and nuclear chain fibers that receive static gamma
06 activation. The word nuclear refers to the multiple nuclei that these fibers have,
07 and that either line up parallel to the long axis of the fiber (chain) or group
08 together (bag).

09 When we use our muscles, such as our biceps during elbow flexion, the
10 extrafusal fibers of the bicep contract and bring with them the intrafusal fibers.
11 As stated above, the sensory endings respond when the intrafusal fibers are
12 stretched, not when shortened as in our example here. However, in this case the
13 antagonistic muscles (triceps) will be stretched and thus its muscle spindles will
14 inform the CNS of this. In addition, the gamma system can be used in a manner
15 called alpha-gamma coactivation. During alpha-gamma coactivation the out-
16 put of the muscle spindles in the biceps from our example can act as error
17 detectors. As the extrafusal muscles are activated via the alpha motor system
18 and they contract. During this contraction the gamma system activates the
19 contractile region on the intrafusal fibers, keeping them taut at an expected
20 length, which is the length predicted via the alpha activation. If you happen to
21 be lifting an object and you underestimated its weight then your biceps would
22 have contracted less than expected and due to the aforementioned gamma
23 activity your bicep's muscle spindles will fire indicating this error.

24 A third type of peripheral receptor is simply called a joint receptor. **Joint**
25 **receptors** are mechanoreceptors located in joint capsules, and it was once
26 thought they acted as a warning system, signaling the edges of a joints work-
27 space (Burgess and Clark 1969; Clark and Burgess 1975). Others have argued
28 that these receptors signal movement, but not position over much of the joints
29 workspace (Proske et al. 1988). Due to an anatomical oddity it is possible to
30 disengage the muscles from the last segment of your middle finger (distal
31 interphalangeal joint) by simply pointing this finger down while pointing your
32 index, ring and pinky fingers up. Using this hand posture it has been shown that
33 we can use the information from joint receptors alone for proprioceptive feed-
34 back; however, our full proprioceptive abilities require the use of our muscle
35 spindles as well (Ferrell et al. 1987).

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39 *11.2.2 Central Representations of Proprioception*

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41 The representation of proprioceptive information coursing through the spinal
42 cord, dorsal column nuclei, thalamus, cerebellum, and cerebral cortex have
43 classically been studied using electrophysiological techniques employing large
44 electrodes that record EEG (~10,000 neurons) or local field potential (LFP
45 ~100 neurons) sized neural ensembles, lesioning experiments followed by

01 behavioral testing, and histological analysis. In addition, studies have been
02 carried out in which tracers have been injection into specific regions within
03 the CNS in order to elucidate the passage of fiber tracts or neural projections.
04 After the introduction of the microelectrode in the 1950s it became possible to
05 record from individual neurons. Advancements in single neuron recordings
06 from whole animal preparations including the awake behaving animal have
07 ushered in a new phase of neuroscience. Below I will briefly cover the literature
08 on the flow of proprioceptive information from the spinal cord to its terminal
09 cortical and sub-cortical projections.
10

11 11.2.2.1 Spinal and Cerebellar

12
13 Bosco, Poppele and their colleagues have carried out a series of experiments on
14 the cat dorsal spinocerebellar tract (DSCT), which carries the majority of
15 proprioceptive information from the peripheral mechanoreceptors of the hin-
16 dlimbs. The ventral spinocerebellar tract also conveys proprioceptive informa-
17 tion, but is most likely not as purely sensory showing signs of descending motor
18 information (Lundberg 1971; Lundberg and Weight 1971; Arshavsky et al.
19 1972b; Arshavsky et al. 1972a). Proprioceptive information paralleling the
20 above tracts from the forelimbs is carried via the cuneocerebellar and rostral
21 spinocerebellar tracts respectively (Grant 1962; Oscarsson and Uddenberg
22 1964). Bosco and Poppele have suggested that the information from the
23 peripheral receptors are already combined within the spinal cord, and that the
24 DSCT is carrying a higher level code representing global information about
25 the limbs rather than simply the length of the muscles, or the angle of the joints.
26 Specifically, they have suggested that this proprioceptive code is in a polar
27 coordinate system with the endpoint (foot) represented as a length from the
28 hip to the paw, and the angle made between the limb axis and the hip Fig. 11.3.

29 The fact that there are only two degrees of freedom in their coordinate
30 system may have been due to a high degree of coupling among the three joints
31 of the cat hindlimb, and the fact that its hindlimb's motion is mostly restricted
32 to a plane. However, in a set of experiments the natural coupling between
33 the joints was canceled by fixing one joint angle while varying the endpoint
34 position with a similar conclusion about the endpoint representation (Bosco
35 and Poppele 2000; Bosco and Poppele 2003). Recent work from this group has
36 moved past static paw positions and even into active stepping and has suggested
37 that the limb length variable is not actually an independent variable, and
38 encodes limb loading, which they argue is proportional to limb length under
39 their previously explored passive conditions (Bosco et al. 2005; Bosco et al.
40 2006).

41 The aforementioned four spinal tracts give rise to mossy fibers that innervate
42 the cerebellum, while the olivocerebellar pathway provides climbing fiber
43 inputs to the cerebellum. These inputs reach the spinocerebellar cortex, consist-
44 ing of the vermis and intermediate cerebellar cortex, as well as to the inter-
45 positus nucleus. The cerebellar cortical representation of this information and

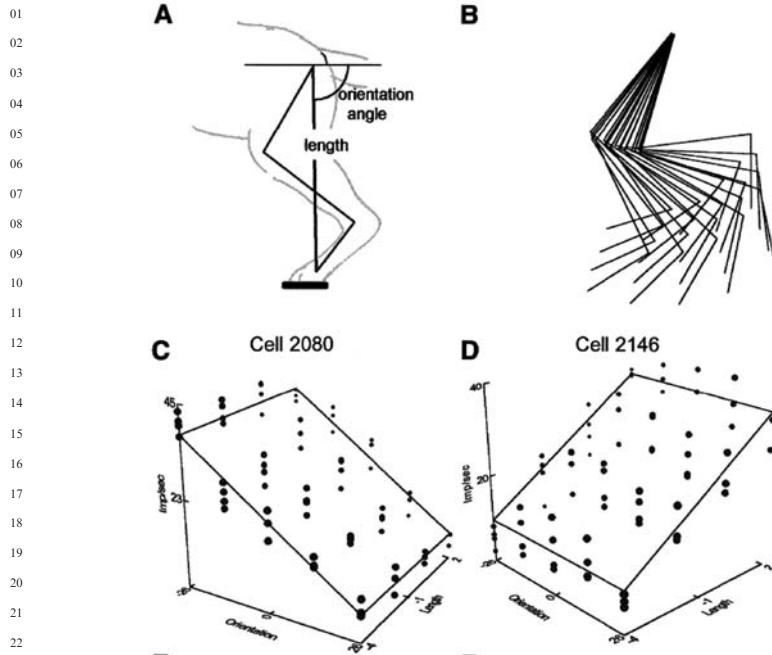


Fig. 11.3 (A) The polar coordinate scheme with the hip as the origin. (B) The range of 20 foot positions used to test the neural representation from the DSCT. (C) and (D) represent the activity from two DSCT neurons. The x axis is the length, the y axis the orientation and the z axis the neurons firing rate. (taken from (Bosco and Poppele 2001) with permission)

somatosensory information in general, are in a fractured form, and there is no clean somatotopy. As it has been suggested that the information in the spino-cerebellar tracts is already coding high level information, past a single joint or muscle, it should be no surprise that such global limb information is also found in the cerebellum, representing both position and velocity (Casabona et al. 2004). However, the cerebellar representation of limb position dose not seem to be as strong as that seen in the DSCT (Casabona et al. 2003). Interesting is the fact that this positional representation is anisotropic (Valle et al. 2007), which was been described in primate motor (Kettner et al. 1988) and somatosensory cortex (Tillery et al. 1996) as well.

11.2.2.2 Thalamic and Cortical Representation of Proprioception

The adjoining figure (Fig. 11.4) by Jon Kaas et al. illustrates nicely the connections form the spinal cord up to the cortex involved in somatosensation (Kaas et al. 2002). As seen in the figure, information from peripheral receptors ascends the spinal cord in two major pathways, the dorsal column system and the

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The Organization of the Somatosensory System in Primates

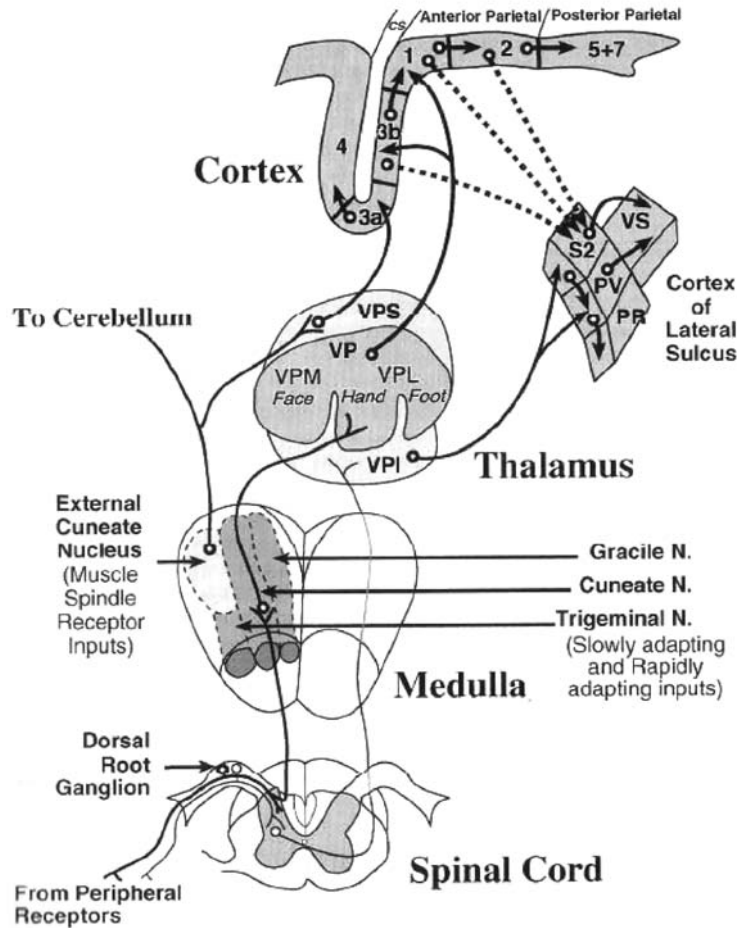


Fig. 11.4 Diagram of the flow of information from the peripheral mechanoreceptors to the cortex. (taken from (Kaas et al. 2002) with permission)

spinothalamic systems. In the monkey the information from these two systems is segregated between the main somatosensory thalamic relay nuclei, such that the spinothalamic information is carried to the ventral posterior inferior (VPI) nucleus as well as the VMpo (Craig and Zhang 2006), while information from the dorsal column nuclei (DCN) project to the ventral posterior VP (comprised of the VPL and VPM). A majority of information from muscle spindles carried to the DCN terminate in separate nuclei, such as the external cuneate nucleus

01 for the forelimb, than that of the cutaneous receptors, which send their major
02 projections to the cuneate and gracile nucleus.

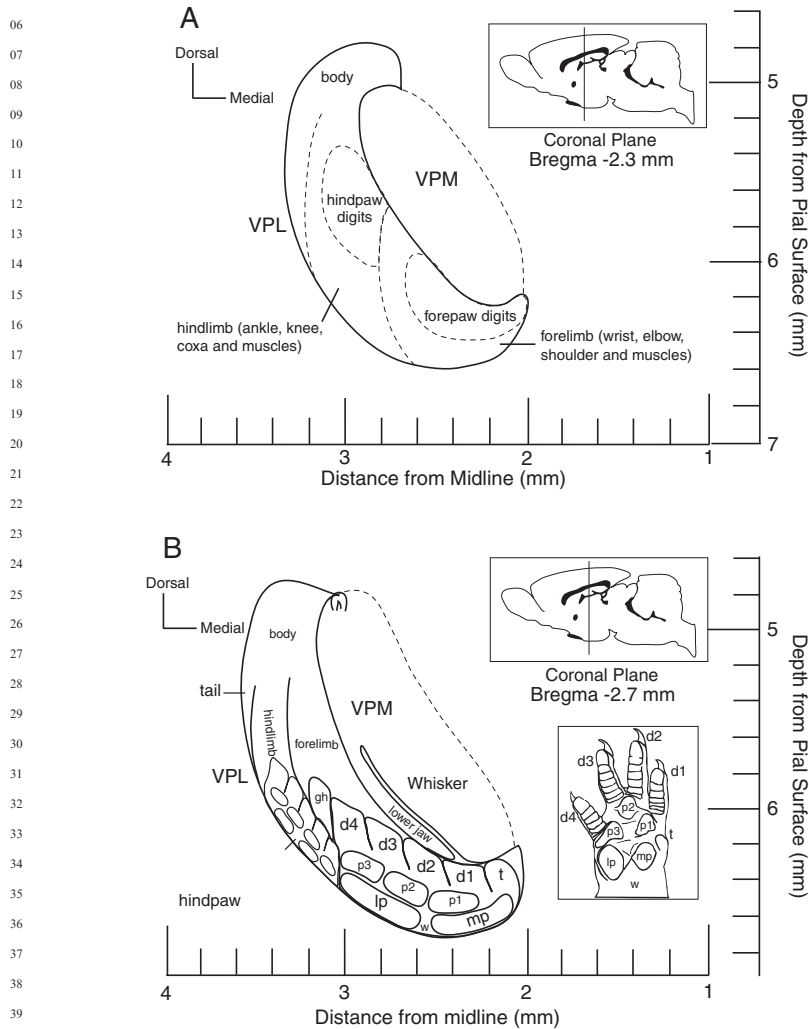
03 The VP has a well defined somatotopy in mammals (Kaas 2007) with the
04 hand and foot occupying a disproportionately large volume, as compared to the
05 rest of the body (Angel and Clarke 1975; Wilson et al. 1999). There are cell poor
06 septa separating the face, hand and foot representations (Welker 1973; Kaas
07 et al. 2002). The VP receives as its primary type of sensory input, from the DCN,
08 both rapidly adapting (RA) and slowly adapting (SA) information, coming
09 from these two main groups of receptors in the skin. The information from
10 these two groups of receptors reportedly synapse on separate populations of VP
11 neurons (Dykes et al. 1981), thus with future advances in micro and even nano
12 electrode technologies, one may be able to differentially stimulate these two
13 populations for use in a somatosensory neuroprosthesis (Rozenboym et al.
14 2005; Rozenboym et al. 2006). The use of nano electrodes may not be necessary
15 in the human, as a focal stroke in the VPL has been shown to specifically impair
16 static, but not dynamic touch (Timmermann et al. 2000). This data suggests that
17 the SA and RA information is conveyed by separate portions of the VPL. Such
18 modality specific losses have also been shown for proprioception in humans
19 (Sacco et al. 1987).

20 The VP projects strongly to LAYER IV of 3b, which is considered to be the
21 homologue of S1 in non-primate mammals (Kaas 1983). Receptive fields in area
22 3b are smaller than in the other somatosensory areas (areas 1, 2, S2, PV), as
23 would be expected of the primary somatosensory cortex (S1). The VP also
24 projects to area 1, predominantly above layer IV. Area 1 receives a major
25 layer IV projection from 3b. Thus, it is possible that VP plays a modulatory
26 role in area 1, while being the source of excitation in 3b. This architecture implies
27 a serial order of information processing primarily from VP to 3b and onto area 1.
28 This apparent serial order of information flow continues onto area 2, which
29 receives input from area 1, but not directly from VP. Area 2 receives its main
30 input from area 1 as well as from the VPS, which is the main relay nucleus for
31 proprioceptive information in the monkey. Area 2 may be involved in form
32 recognition by combining cutaneous and proprioceptive information for hapsis.

33 The VPI along with the VMpo appear to be the major thalamic recipients of
34 lamina I projections in the macaque (Craig et al. 1994; Craig 2006), whereas the
35 caudal VPL is in the rat (Gauriau and Bernard 2004). It has been proposed that
36 the VMpo is a specific relay nucleus for pain and temperature information. The
37 VPI is the primary thalamic input to S2 and PV, and projects to the superficial
38 cortical layers (Krubitzer and Kaas 1992). This VPI input seems to have a
39 modulatory role rather than directly activating S2 and PV. In fact, if one lesions
40 areas 3a and 3b there appears to be no cutaneous excitation of area 1, S2 or PV
41 (Garraghty et al. 1990a; Garraghty et al. 1990b). We will see later in this chapter
42 that this type of obvious serial order processing doesn't seem to occur in the
43 sensory motor areas during movement planning and execution.

44 Until recently, the rat homolog of the VPS had not been identified, which is
45 surprising given the wide spread use of the rat as an animal model. We have now

01 mapped out a region in the rostral VPL of the rat that responds preferentially to
 02 joint manipulation and muscle palpation (Francis et al. 2008). This region
 03 contains large cutaneous receptive fields on the paws as well (see Fig. 11.5A).
 04 Just caudal to this region of the rat thalamus is an area of the VPL that has small
 05



41 **Fig. 11.5** A cartoon of somatotopy of the rat VPL in the coronal plane. (A) Bregma -2.3 mm
 42 corresponding to the rVPL, and (B) Bregma -2.7 mm corresponding to the mVPL. Insets in
 43 the upper right of (A) and (B) depict the position of the plane related to the whole rat brain.
 44 Inset in the lower right of (B) depicts the rat right forepaw: lp, lateral pad; mp, medial pad; w,
 45 wrist. The depth readings are in reference to the highest point of the pial surface, which is
 about 0.4 mm below Bregma. (Taken from (Francis et al. 2008) with permission)

01 cutaneous receptive fields and an exaggerated representation of the fore and
02 hind paws (see Fig. 11.5B). This region corresponds to the primate VPL, and we
03 have termed it the middle VPL (mVPL) in the rat (Francis et al. 2008). Caudal
04 to the mVPL is the cVPL (caudal VPL), which has large cutaneous receptive
05 fields, and may be the rat homolog of the primate VPI/VMpo.

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08 **11.3 Neural Representation of Kinematics vs. Dynamics**

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10 Does the motor control system use extrinsic (hand position) or intrinsic (joint/
11 muscle based) coordinates, and is it controlling kinematics (position) or
12 dynamics (force) related variables. These questions have been fiercely debated
13 for some time now (Georgopoulos et al. 1982; Georgopoulos et al. 1983; Scott
14 and Kalaska 1995; Crammond and Kalaska 1996; Taira et al. 1996; Ashe 1997;
15 Scott and Kalaska 1997; Scott et al. 1997; Sergio and Kalaska 1997; Ajemian
16 et al. 2000; Todorov 2000; Ajemian et al. 2001; Reina et al. 2001; Sergio and
17 Kalaska 2003; Sergio et al. 2005) (for review see Shadmehr and Wise). In
18 general, it seems that certain brain regions tend toward kinematics and others
19 toward dynamics, and even within a brain region a given neurons representa-
20 tion may appear to shift. In this section I will present some key results and
21 experiments related to this debate from the primate and rat literature.

22
23 Let's start with the spinal cord and muscles and discuss some of their proper-
24 ties and control abilities before we move up the nervous system to the brain.
25 Muscles have both active contractile properties as well as passive elastic proper-
26 ties, like a spring, it requires more force to lengthen, or shorten it as you get
27 further away from its preferred length. As a simple example consider the arm
28 configuration of the subject in Fig. 11.1, and assume that we have the subjects
29 arm supported via a sling so they don't have to counter gravity. Their relaxed
30 arm will wish to come to rest at some position that is governed by the passive
31 spring like properties of the muscles, assuming there are no external forces
32 acting on the arm. You could call this the arms preferred (or zero effort)
33 equilibrium position. Thus, if they wish to move their hand to another location
34 and keep it there they need to produce enough force via their muscles to over-
35 come the inertia of their arm as well as overcoming the passive spring like
36 properties of the muscles that resist movement away from their preferred
37 length. This latter component leads to the need for a tonic neural signal
38 activating the muscles to keep them at this new equilibrium position.

39 In 1966, Feldman conducted a set of experiments in which he had subjects
40 hold their arm with a given elbow angle and tested what forces the subjects
41 generated at their hand when he tried to move their arm slightly (Feldman
42 1966). What he found was that the subjects were unknowingly producing
43 restoring forces that resisted this imperceptible movement. This was taken as
44 evidence of an equilibrium point that was set presumably via the brain and
45 instantiated via the spinal cord, the muscles and mechanical receptors. It was

01 theorized that movements could be produced by shifting this equilibrium
02 point from where the hand was to some target position. This does not tell us,
03 however, what the motor control system is actually controlling. Is the equili-
04 brium position in muscle/force space, or is it in kinematic space, whether that be
05 joint, or extrinsic.

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11.3.1 *Wrist Movements*

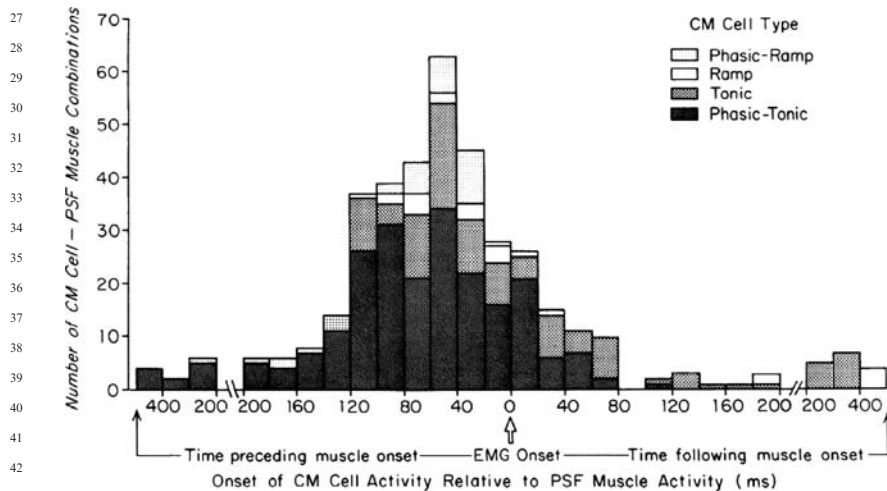
Over the past half century neurophysiological motor control studies in the primate have traveled from the wrist and finger movements, up to the elbow and finally to the shoulder and reach and grasp movements. Below I have grouped pertinent papers addressing the control of force and position into the wrist, elbow and whole arm categories.

In 1968 Edward Evarts presented a series of historic papers utilizing a motor control paradigm where monkeys made wrist movements cued via a light to the right or left while encountering constant assistive, resistive, or null torques via a manipulandum (Evarts 1965; Evarts 1966; Evarts 1968). Evarts used electrical stimulation in the medulary pyramids in order to determine if the motor cortical cells he was recording from were pyramidal tract neurons (PTNs) or not. In this series of papers Evarts describes the relation between conduction velocities in PTNs and their recruitment and firing tendencies, with fast conducting PTNs exhibiting phasic increases in activity during movement, while slowly conducting PTNs were active even during posture and could have both increases and decreases in activity during movement. These results point to the possible separation between the control of posture and movement (Kurtzer et al. 2005), which is still an active area of research. In this earliest work that specifically addresses the dynamics (force) vs. kinematics (position) representation in the motor cortex; Evarts suggests that it is the magnitude of the force that is best accounted for in M1. In a follow up paper Evarts looked at PTN activity during a postural task, which is identical to the one he previously used, but now the monkey had to resist movement of its wrist while experiencing the different load conditions. Again, the results pointed to the control of force via the motor cortex (Evarts 1969), where many PTNs changed their activity in relation to the change in force per unit time dF/dt . Evarts also noted that the motor cortex receives input from proprioceptors via Ia afferents that convey information from the nuclear bag fibers of the muscle spindles. Thus, it is conceivable that some of the information represented in the motor cortex is in fact related to muscle lengths and not simply to force. This representation could include both feedforward sensory expectations via the gamma motor system, as well as feedback via the Ia afferent system. This is not to imply that the motor cortex has the leading role in these proprioceptive neural capacities. Another point that Evarts recognized was the fact that the monkey had to hold the handle and this would lead to different combinations of force needed by the

01 fingers, or thumb depending on what direction the handle was to be moved.
 02 Such confounding aspects are common in much of the motor control literature.

03 In 1980 Paul Cheney and Eberhard Fetz published an influential paper
 04 describing the activity patterns of putative corticomotoneurons (CM) in
 05 the motor cortex. These neurons demonstrated postspike facilitation (PSF) of
 06 the rectified electromyography (EMG) activity. Thus, unlike the PTNs (see
 07 above), which could have had targets other than the muscles, or even the spine,
 08 these CM cells are chosen based on a relation with the EMG data, this technique
 09 is called spike triggered averaging. It should not be surprising that these CM cells,
 10 that were selected due to their spike triggered average relation to the EMG, were
 11 found to always be active during the production of a torque in the cells preferred
 12 direction (direction the cell is most responsive). It was noted that there were four
 13 main firing patterns in the CM cells, which are labeled and their numbers shown
 14 in Fig. 11.6 taken from (Cheney and Fetz 1980). According to the authors the CM
 15 activity was similar between isometric and auxotonic conditions, indicating that
 16 the CMs are most related to the torque trajectory, rather than the displacement,
 17 or its derivatives. They also noted that the two phasic categories (phasic-tonic
 18 and phasic-ramp) of CMs began to fire significantly earlier (-71 and -63 ms,
 19 respectively) than the ramp and tonic CMs did (+5 and +101 ms, respec-
 20 tively). Again this separation may represent the neural control for active
 21 changes in force output, which are generally associated with movement, and
 22 postural forces, or an equilibrium point. In this case the equilibrium point
 23 need not be in positional space, but rather in torque output.

24 These authors found that the relation between the change in torque and CM
 25 firing rate was steeper for wrist extension than for flexion, and that in general
 26



44 **Fig. 11.6** Distribution of the onset of corticomotoneuronal (CM) activity with respect to post
 45 spike facilitated muscle activity. (Taken from (Cheney and Fetz 1980) with permission)

01 when the torque was increased the CMs would increase their rate rather than
02 new CMs being recruited at higher torque levels. Put another way, very few CM
03 cells had a torque threshold that was larger than zero for at least some tonic
04 activity. Others have suggested that there is an extensive representation in M1
05 around the zero torque, and for the lower torque range, and that the slope of the
06 relation between M1 neural firing and torque change is steepest at the low
07 torque range, at least for the wrist (Werner et al. 1991). Werner and colleagues
08 even suggest that there may be different roles for M1 and the pre-motor cortex
09 in controlling fine vs. gross forces, as they found the PM cells to represent
10 torque at a larger range and these neurons had a steeper force/firing rate
11 relation at higher torques (for force review see (Ashe 1997)).

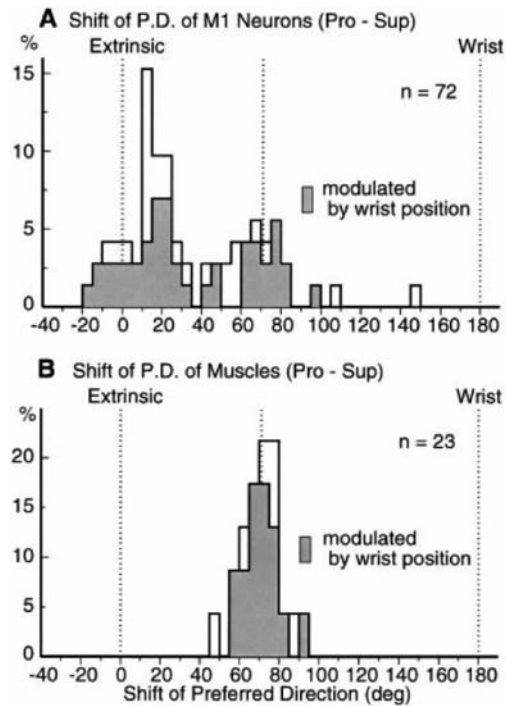
12 An early debate in motor control that the above work helped settle had to do
13 with whether the motor cortex controls individual muscles or groups of mus-
14 cles. Anatomical evidence has indicated that neurons in the motor cortex
15 innervate multiple motor pools (Shinoda et al. 1981), indicating it controls
16 groups of muscles and not just one, further support of this idea came from
17 the above electrophysiological experiments (Cheney and Fetz 1980; Maier et al.
18 1993), were it was found that the spikes from one motor cortical cell influenced
19 several muscles, and these muscles often worked together.

20 In 1983 Humphrey and Reed showed that monkeys would use stiffness, or
21 compliance control, for high frequency alternating torques on the wrist.
22 Whereas they would use reciprocal activation patters at lower frequencies.
23 There where two distinct cell populations in M1 for this joint stiffness and
24 forward model type control. Below are results from another set of wrist experi-
25 ments where distinct cell populations were found to represent specific move-
26 ment related variables, however, this is most likely due to the specifics of each of
27 these different experimental paradigms as well as the methods used for choosing
28 cells etc. . .

29 In 1994 Alexa Riehle et al. addressed the issue of controlling force and the
30 extent of wrist movements (Riehle et al. 1994). In this work they utilized a
31 paradigm in which partial or no information about the upcoming movement
32 could be given during a delay period in order to determine the influence this
33 information had on motor planning and execution. Specifically, they would cue
34 information about the upcoming movements force or extent. It had been pre-
35 viously demonstrated that these two variables could be independently controlled,
36 along with movement direction and extent (Rosenbaum 1980), direction and
37 force (MacKay and Bonnet 1990), as well as movement direction and duration
38 (Vidal et al. 1991). Thus, one should be able to find a neural correlate of these,
39 and indeed there are such correlates (Riehle et al. 1994; Riehle and Requin 1995),
40 and the neural representation of these two variables differs from brain region to
41 region, as well as during the evolution of the motor plan and execution. For
42 extent and force these authors found two populations of neurons, one relating
43 only to extent and the other only to force with most of the premovement changes
44 occurring in the pre-motor cortex. These authors suggest that the motor control
45 system programs extent and force in a serial manner, while direction and extent

01 are planed in either a fixed order, or in parallel, as determined via reaction
 02 times using the above task. It may not be suppressing that two distinct neural
 03 populations could be found representing either movement direction or movement
 04 force. However, unlike some of the previous work it seemed that more neurons
 05 changed their activity in relation to direction rather than force. It was noted that
 06 “the number of purely direction-related neurons increased, whereas the number
 07 of purely force-related neurons decreased from S1 to PA, then to M1 and finally
 08 to PM.” (Riehle and Requin 1995). Here S1 is the primary somatosensory cortex,
 09 PA the parietal cortex and PM the premotor cortex.

10 More recently a group of researchers attempted to further our understanding
 11 on such wrist control by dissociating several possible variables of motor control,
 12 such as the muscle activity, the direction of the wrist movement and the direction
 13 of movement of the visually controlled computer cursor (Kakei et al. 1999).
 14 Unlike the Evarts work, these wrist movements were to eight spatial targets in
 15 a 2D plane (center out paradigm), not simply to the right or left. In addition, the
 16 monkey grasped the manipulandum in one of three forearm positions, pronated,
 17 supinated, or between the two, which corresponds to having the hand with the
 18 thumb pointing up. The distribution of change in the preferred direction of motor
 19 cortical cells, and for the muscles is shown in Fig. 11.7, were the preferred
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 39 **Fig. 11.7** Distribution of the
 40 shift in preferred directions
 41 of M1 neurons (A) and
 42 Muscles (B) between a
 43 pronated and supinated
 44 wrist position (see text).
 45 (Taken from (Kakei et al.
 1999) with permission)

01 direction is the direction in which the cell or muscle fires most. It can be seen from
02 this figure that the distribution in M1 appears to be bimodal, while that of the
03 muscles is between an extrinsic and wrist centered reference frame, as the differ-
04 ence between the pronated and supinated positions was 180 degree. The authors
05 state that the cortical representation strongly represents both muscle-like and
06 direction of the wrist in space regardless of the muscle activation patterns.

07 This work has been expanded recently using a very similar task while recording
08 from M1 as well as the spinal cord interneurons (Yanai et al. 2008). In this work
09 the monkeys made isometric wrist torques to visual targets utilizing the center out
10 paradigm, but without wrist movement, just isometric torque. The wrist torques
11 generated in the Yanai work were slower (mean, 670 ms) than the movements in
12 the Kakei work (movement time < 200 ms). Also, the muscle activation patterns
13 were less complex during the isometric paradigm as compared to the Kakei work.
14 These differences may have lead to Yanai et al. stating that they didn't see the
15 same bimodal distribution of M1 neural activity as that seen in the Kakei work,
16 although they did see a consistent shift in the cortical PDs with rotation of the
17 wrist. They noticed a bimodal distribution in the spinal interneurons and inter-
18 preted their results such that the motor cortex is operating in an intermediate
19 reference frame between extrinsic and muscle like frames, while the spinal inter-
20 neurons are already representing a muscle based reference frame. They suggest
21 that cortico-spinal interactions are involved in the coordinate transformation
22 between the M1 intermediate frame and the spinal muscle-like frame.
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26 *11.3.2 Elbow Movements*

27 A truly amazing finding was published in **1971** by Fetz and Finocchio utilizing
28 elbow movements and isometric muscle contractions in the primate (Fetz and
29 Finocchio 1971). These researchers determined that monkeys could learn to
30 control the firing patterns of individual motor cortical neurons if they were
31 provided with auditory or visual feedback on the neurons rate of activity. The
32 animals were rewarded for increasing the activity of the neuron, which would
33 normally be associated with the activation of the biceps. However, with training
34 the monkeys could dissociate the muscle contraction from the increased firing
35 of the neuron. The opposite dissociation that is neural suppression while con-
36 tracting the biceps was incomplete, but still noteworthy. These results are
37 important for two reasons. The first, as pointed out by the authors, is that
38 temporal correlations between neural firing and movement related variables,
39 such as EMG, do not prove that there is a causal relation between the two, as
40 this relation could be controlled by the monkeys. The second reason has to do
41 with the brain machine interface. This work indicates that the individual has the
42 ability to change their neural output from the motor control system, and thus
43 the user of a brain machine interface may be able to control the system even if
44 the translation of neural activity to say robotic motion is less than perfect.
45

01 Let's take a look back at the equilibrium point hypothesis using elbow
02 movements in the monkey. Polit and Bizzi trained monkeys to make elbow
03 movements in the horizontal plane to visual targets without vision of their
04 arms (Polit and Bizzi **1979**). The monkeys sat in a primate chair with their
05 right forearm placed in a splint, which was then attached to a one degree of
06 freedom robotic manipulandum that could move in the horizontal plane. This
07 manipulandum was used to track the monkeys elbow angle to determine the
08 precision of his pointing. After the monkeys mastered this pointing task they
09 were deafferented, that is, the dorsal roots of the spinal cord that receive
10 proprioceptive and cutaneous input from the arm and hand were surgically
11 cut (Polit and Bizzi 1979). Even though these monkeys had no somatosensory
12 feedback from their arms to their spinal cord they could still make these
13 targeted movements, but only while making movements that they had been
14 extensively trained on. If the initial arm configuration was different from that
15 used during training the monkey would make systematic reaching errors. Errors
16 were also induced if a constant torque was produced by the manipulandum.
17 However, short pulse torque perturbations just before movement onset did not
18 fully impair the deafferented animal's ability to make successful movements.
19 Intact animals had no difficulties compensating for each of the above perturba-
20 tions. One can interpret these results to mean that the monkeys could use
21 feedforward control to make well practiced reaching movements, and the
22 passive properties of the musculoskeletal system are resistant to some perturba-
23 tion before movement. However, if a constant perturbation interferes with the
24 feedforward plan sensory feedback information is necessary. These results were
25 taken as evidence of the equilibrium point hypothesis, and later work by
26 Giszter, Mussa-Ivaldi and Bizzi demonstrated equilibrium points that could
27 be produced by stimulating electrically in the frog's spinal cord, thus there may
28 be a spinal mechanism for maintaining such points (Giszter et al. 1993). More
29 recently such equilibrium points or postures have been found by using electrical
30 stimulation of the motor and pre-motor cortex (Graziano et al. 2002).

31 In **1990** Alexander and Crutcher published a series of three papers focused
32 on M1, SMA and the putamen that addressed the neural representation of the
33 intended direction of movement, muscle patterns and the visual target of elbow
34 movements in the primate (Alexander and Crutcher 1990b; Alexander and
35 Crutcher 1990a; Crutcher and Alexander 1990). In this work the monkey
36 made visually guided elbow flexions and extensions with and without constant
37 torque loads. In addition, some experiments dissociated the visual feedback
38 from the actual arm movement such that they were in opposite directions. As
39 this was a delayed movement task they could also quantify the number of
40 neurons from each area that had preparatory activity as well as movement
41 dependant activity.

42 Alexander and Crutcher found that each of the motor areas tested had
43 activity during the preparatory period, that is during the motor planning, as
44 well as during the movement period. The directionally tuned preparatory activ-
45 ity was either related to the visual information, regardless of the actual arm

01 movement made, which they called target-dependant cells, or they represented
02 the actual arm movement, in which case they were termed limb-dependent.
03 There were approximately equal numbers of preparatory target-dependant
04 cells in the three brain regions, while the SMA had more preparatory limb-
05 dependent cells (40%) as compared to M1 (15%) and the putamen (9%). Along
06 with these two types of preparatory neural activity there were also the same two
07 types of movement related neural activity, which are target-dependant and
08 limb-dependant activity during the elbow movement time. The majority of
09 these movement related neurons were limb-dependant with 71% in M1, 65%
10 in SMA and 14% in the putamen. As the authors point out, these results
11 indicate that there is a good deal of parallel processing occurring within the
12 motor control system, and the activation patterns do not support a serial
13 passing of information from one region to the next. In general, there were
14 differences in the mean and median peri movement and peri cue activity
15 between the brain regions, such that the neural activity occurred earlier in the
16 SMA then M1 and last in the putamen. However, the distributions of neural
17 activity around the onset of movement for each region spanned both positive
18 and negative time lags, that is they could be preparatory (motor planning, or
19 sensory expectation), or sensory/feedback like. Each of these three areas also
20 had neurons with short latency proprioceptive like responses and muscle like
21 responses that represented the different torque loads, with these activities being
22 more prevalent in M1.
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26 *11.3.3 Whole arm Movements*

28 Over the past two decades a group of researchers led by, or former students
29 and post-docs of, Apostolus Georgopoulos have contributed tremendously
30 to our current options on the motor control system. In **1982** Georgopoulos
31 et al. reported that the neural activity of about 39% of M1 cells (323 cells out
32 of 606) changed their neural firing in a direction dependant manner, and
33 that this relationship between the direction of movement and the cells rate
34 of activity could be accounted for via a cosine function. The behavioral
35 paradigm utilized was a center out reaching task (2D) performed while the
36 monkeys held the handle of a planar manipulandum. An important idea that
37 comes out of this work is that the motor cortex has a distribution of neurons
38 that code for the direction of movement in a smooth manner, with each cell
39 having a preferred movement direction that it fires most in with this firing rate
40 dropping off smoothly via a cosine function of the difference between the
41 current movement direction θ_d and the cells preferred direction θ_{pd} , such that
42 $F(d) = b_o + c_1 \cos(\theta_d - \theta_{pd})$, where F is the neural firing rate, d the current
43 direction of motion, b_o the mean firing rate, c_1 a gain factor and pd stands for
44 the cells preferred direction (Georgopoulos et al. 1982). Similar neural tuning
45

01 was subsequently shown when the monkey held its hand in a static posture at
02 each of the outer targets in the center out paradigm (Georgopoulos et al. 1984).

03 In 1986 this cosine tuning idea was taken to the population level, that is, how
04 can neurons with broad tuning curves specify accurately a movement to a given
05 direction in 3D space? A solution to this problem was termed the population
06 vector (Georgopoulos et al. 1986). In essence what the population vector
07 formalism states is that each of the directionally selective M1 neurons con-
08 tributes information about the current movement direction. The information
09 from all of these cells is summed to form an accurate prediction of the actual
10 movement direction to be made, or that is being made. The amount of informa-
11 tion added to the sum by each cell is dependant on that cells preferred direction
12 and the direction of the movement being planed, or made, following the afore-
13 mentioned cosine directional tuning. John Kalaska et al. combined the center
14 out reaching task (Georgopoulos et al. 1982) with a loaded manipulandum that
15 could pull the monkeys hand/arm in eight different directions. Thus they could
16 now determine the neural correlations to both movement direction as well as
17 load direction. They found that shoulder joint related M1 neurons could have
18 both a preferred movement direction as well as a preferred load direction, which
19 they called the cells load axis (Kalaska et al. 1989). Almost ten years later
20 prudd'home and Kalaska presented similar types of neural activity in S1 to
21 load direction, as noted above for M1, but this S1 activity was less robust in its
22 response to the loads during the target hold time. Area 5 of the parietal cortex
23 demonstrated no appreciable load dependant activity (Prud'homme and
24 Kalaska 1994; Hamel-Paquet et al. 2006).

25 In an effort to determine if arm orientation could influence the neural
26 representation during reaching movements, Stephen Scott and John Kalaska
27 had monkeys make these center out reaching movements using either a natural
28 arm orientation, or an abducted orientation while holding a manipulandum.
29 They found that a large portion of cells changed their activity from the one
30 posture to the other, either in their tonic activity, the directional tuning as
31 reviewed above, or both. These changes were seen during the movement time
32 as well as during the postural maintenance of the target hold period (Scott and
33 Kalaska 1995; Scott and Kalaska 1997; Scott et al. 1997). These researchers
34 showed that during these two different postures the population vector pointed
35 in different directions, and thus the motor cortex dose not simply represent the
36 spatial trajectory of the hand, but includes at least some information on the arm
37 orientation, and from the previously reviewed results, some representation of
38 force, and proprioception.

39 The above work involved primates grasping and moving a manipulandum
40 and thus any loads generated via the manipulandum had to be represented at
41 the handle. To overcome this situation and test the force related neural activity
42 at the shoulder and elbow during reaching movements Stephen Scott intro-
43 duced the use of an exoskeletal robotic manipulandum (KINARM). It was
44 noted that equal numbers of M1 neurons were sensitive to torques applied via
45 the KINARM to the shoulder, elbow, or both, and most cells were related to

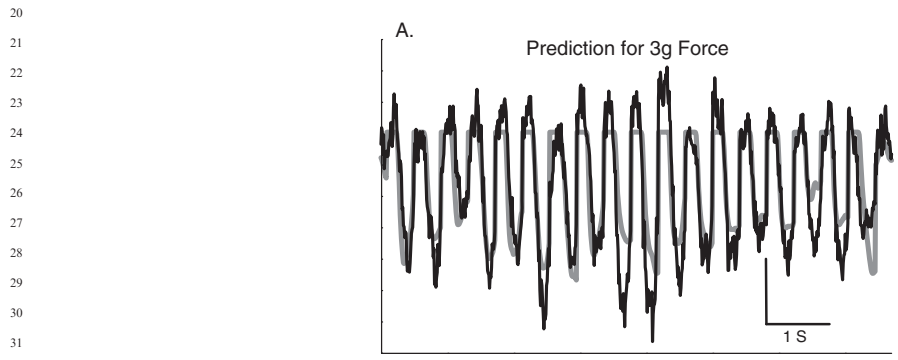
01 flexor torques at one joint and extensor torques at the other. Also, the neural
02 activity to combined shoulder and elbow torques was predictable based on the
03 neurons response to the two individual torques via a vector sum (Cabel et al.
04 2001). A second paper from this group in 2001 showed systematic discrepancies
05 between the M1 population vector's prediction of hand direction and the actual
06 hand direction, and that these discrepancies were due to a non-uniform dis-
07 tribution of preferred directions in the M1 population. Furthermore, the non
08 uniform distribution could be related to the peak joint power at the shoulder
09 and elbow.

10 As should be clear by now, researchers are steadily finding new correlations
11 between movement related variables and neural activity in the motor cortex, as
12 well as other movement related brain regions in the primate.

13 As primate research is fairly expensive and it takes a great deal of time and
14 effort to train monkeys, one might wish to conduct some of this motor control
15 research on rodents, and indeed much has been done in the realm of reaching
16 movements (Whishaw and Pellis 1990; Whishaw et al. 1991; Whishaw 1996;
17 Ballermann et al. 2000; Kargo and Nitz 2003; Kargo and Nitz 2004). However,
18 most of this work involved rats reaching for food pellets and did not separate
19 kinematic and dynamic related variables. In a recent set of papers I have
20 introduced a simple torque manipulandum reaching task for rats (Francis and
21 Chapin 2004; Francis and Chapin 2006). Unlike previous rat lever paradigms
22 where the rat can simply step on a lever with its fore paw, this task requires the
23 rat to actually grasp the manipulandum handle and pull or push it to a specific
24 target position within a given time window, which they learn to do within an
25 hour. Once the rats had practiced making reaching movements for a week or so
26 they were implanted with arrays of recording electrodes in their sensory and
27 motor cortex as well as the proprioceptive thalamus rVPL (Francis et al. 2008).
28 I was then able to use the simultaneously recorded neural activity from these
29 brain regions to predict the time varying position, work and force produced by
30 the rat at the hand. This work has helped close the gap between a simple rat
31 model and the primate motor control experiments that I have reviewed. I plan
32 to take this paradigm into three dimensional movements in the near future.
33 There are some interesting differences between the rat and the primate, the most
34 obvious is that we are heavily dependant on our vision for determining targets
35 of interest and while making reaching movements. However, rats most likely
36 cannot see their hands in a large portion of their personal workspace. It has
37 been suggested that rats mainly use olfaction for determining the position of
38 reaching targets. In my manipulandum task it appears as though the rats are
39 using both olfaction and to a large extent their whiskers to "see" the handle
40 within their whisker field. Unlike the food pellet reaching task, which places the
41 food item outside the animals whisker field, the manipulandum on the other
42 hand is in the animals workspace, so if it wishes it can sniff, lick, bite or grasp the
43 handle. Their first instinct is to bite it, but this can be easily overcome by only
44 allowing the manipulandum to be moved when they grasp it with their hand
45 (for details see (Francis and Chapin 2004)).

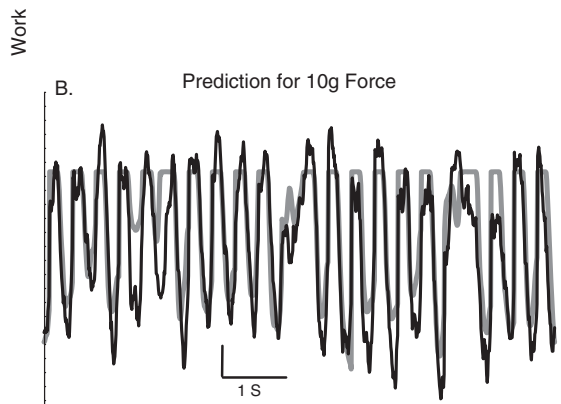
01 An important concept that I have been probing with these rat experi-
 02 ments, and in companion primate experiments, is the degree of generaliza-
 03 tion we can determine between reaching movements made in different
 04 dynamical environments (Donchin et al. 2003; Francis 2007). This work
 05 will be necessary for developing a neural controlled prosthetic arm, so as
 06 to allow the user the ability to control their force output when picking up
 07 novel objects etc. . . I have found that as long as I train my neural decoding
 08 algorithms on a large enough sampling of force related state space that I can
 09 then accurately predict the position, work, and force being produced by the
 10 rat, even if they are working against loads not used for building the decoding
 11 model. In Fig. 11.8A. I have plotted the variable work (force * displacement)
 12 during these rat reaching movements against two different constant loads,
 13 one of 3 g and the other 10 g, also plotted are the neural predictions of the
 14 work. Fig. 11.8. B. shows the two different forces used as well as the neural
 15 prediction of them.

16 In Fig. 11.9A. I have plotted the cross correlation between the neural prediction
 17 of the labeled movement related variable's and the actual variables
 18 values for each data set from several animals (Francis and Chapin 2006).
 19



32

33 **Fig. 11.8** In panels A and B we have plotted the work
 34 needed to move the
 35 manipulandum in *gray* and
 36 the regression model
 37 prediction from the neural
 38 data in *black*. Note scale
 39 differences between A and
 40 B. The prediction to the
 41 work variable was $r = 0.89$
 42 and $r = 0.87$ for prediction
 43 of the position variable (data
 44 not shown). (From (Francis
 45 and Chapin 2006) with
 permission)



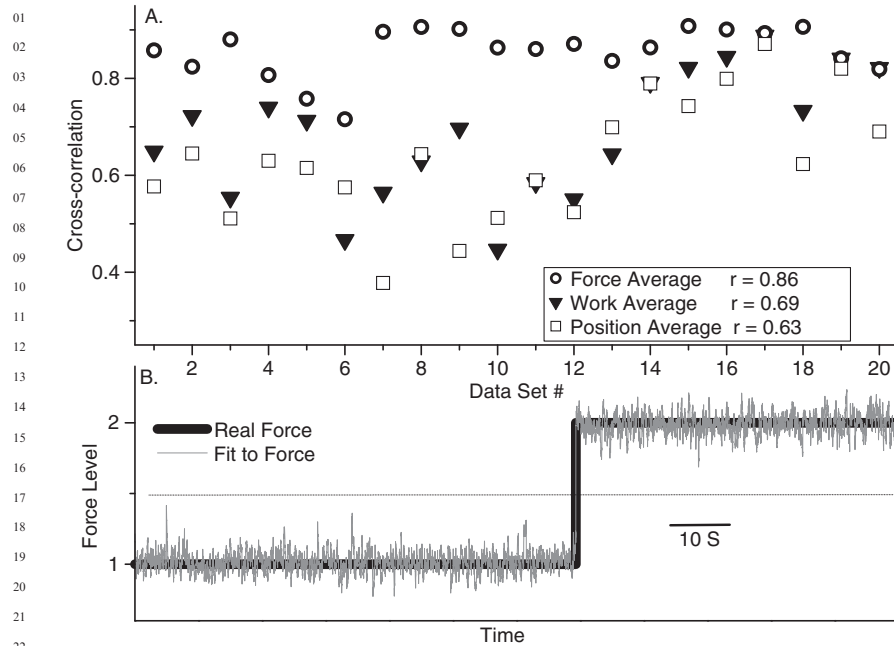


Fig. 11.9 In panel A we have plotted the cross correlation values between each of the three variables of interest's real values and those for the predictions of the models. In panel B we have plotted the real force state produced by the manipulandum as a binomial variable of either 1 or 2 as well as the model's fit to this data. (From (Francis and Chapin 2006) with permission)

Below this plot in Fig. 11.9B is an example of the neural prediction to the force variable during this two force rat reaching paradigm, which was run as a block paradigm. For presentation purposes I have aligned all of the low force time bins to the left and the high force time bins to the right, even though they were presented in random blocks (Francis and Chapin 2006). To date I have had rats work against 6 different force loads in one experimental session, including 2 constant loads, 2 velocity dependant loads and 2 spring-like loads to allow the dissociation between kinematic and dynamic variables with similar results to those presented in Fig. 11.8, which are being prepared for publication. I believe that this experimental strategy should allow us to produce a BMI that gives the user control over both positional and force related variables. We are also starting to use the BMI as an experimental tool to ask questions about the neural representation of movement related variables by allowing the animal direct neural control over different types of variables in order to see which ones they are best at transferring to. The BMI is most likely the next great experimental paradigm that will lead to an explosion of new ideas.

11.4 The Brain Machine Interface as a Tool for Motor Control Research

In 1999 a group of researchers led by John Chapin demonstrated that they could record neural ensemble activity, using arrays of microwire electrodes, from the rat sensory-motor cortex, as well as the VL thalamus, and formed a set of mathematical models that would predict the position of a lever being pressed by the rat, which caused a robotic system to deliver a water reward. After the prediction of the models was sound the animal was transitioned to brain control at which time the neural ensemble activity was controlling the robotic motion. After continued practice in brain control mode the animals decreased the number of, or stopped making overt movements, a phenomenon seen by other research teams (Serruya et al. 2002; Carmena et al. 2003). In 2000 a group led by Miguel Nicolelis took the BMI from the rat and implemented it with non-human primates in an open loop paradigm (Wessberg et al. 2000) where they translated neural activity from 3-D reaching movements into 3-D robotic motion. They found very similar results using simple linear models and artificial neural networks, and noted that they needed to continually update their models, otherwise the open loop prediction and robotic control would deteriorate over time.

In 2002 two research teams published results demonstrating that monkeys could use a closed loop BMI, or BMiC, in order to control a computer cursor (Serruya et al. 2002; Taylor et al. 2002) in 2 or 3 dimensional reaching movements. There are some important differences between these two papers. The group of Taylor, Helms Tillery and Schwartz used a modified population vector algorithm (Georgopoulos et al. 1986) with the closed loop result of poor initial control by the animals, so much so they found the results were just as good if they started their incrementally updating algorithm with random weights. This may be due to the fact that the population vector formalism makes certain assumptions about just what the sensory-motor cortices are coding (Georgopoulos et al. 1982; Kalaska et al. 1983; Moran and Schwartz 1999a; Schwartz and Moran 1999). However, after the algorithm had time to update they obtained impressive results. The second group of researchers led by John Donoghue did not make assumptions about the neural code, or at least did not use the assumptions made in the population vector algorithm, but rather used a simple linear regression fitting the neural activity to kinematic endpoint variables such as hand position with good results from the beginning of brain control. However, neither group incorporated the control of force related variables, or of an actual robotic system.

In 2003 the group led by Miguel Nicolelis expanded their earlier work with some important additions. Jose Carmena et al. incorporated a reach to grasp task with a robotic system that the monkey could control in a closed loop manner by moving a cursor on a computer screen that coded for the grip force as the size of the cursor, that is it would increase in size with grip strength

01 output. This was the first time that force was explicitly introduced into a BMI
02 with successful results using simple linear models (Carmena et al. 2003). How-
03 ever, in this work the animal did not have control over the forces that moved the
04 robotic system, or cursor. In the above cases the monkeys did not actively
05 interact with the robotic system either.

06 Recently the group led by Andrew Schwartz has demonstrated that a mon-
07 key can use an anthropomorphic robotic arm that was positioned at the animals
08 shoulder, so that it acted like the monkeys own arm, to retrieve food items and
09 bring them to the monkey's mouth indicating the possibilities of BMic
10 (Schwartz et al. 2006). Once again the monkey did not have control over the
11 forces of the robot, but this was the first demonstration of a monkey actually
12 interacting with a robotic system in order to achieve a natural goal with a BMic.

13 In 2004 we witnessed two highly publicized instantiations of BMIs in
14 humans. The group led by Miguel Nicolelis used arrays of 32 electrodes that
15 were driven into the thalamus (VOP/VIM or STN). The subjects then interacted
16 with a "video game" in which they had to hold a squeeze ball and produce a
17 given force via squeezing the ball, not that dissimilar to one of the force tasks
18 performed by the monkeys in the Carmena paper. However, in this work they
19 could only record a given site for 5 min, do to the fact that this work was done
20 during DBS implantation surgeries, limiting the amount of data used for fitting
21 and subsequent time for prediction. This work furthered research that has been
22 conducted by PR Kennedy who demonstrated the capacity for a BMI/BCI
23 using a neurotrophic electrode (Kennedy et al. 2000). The group led by John
24 Donoghue benefited from an electrode array consisting of 100 contact points
25 that had been developed by Richard Norman's group (Campbell et al. 1989;
26 Campbell et al. 1990) that has been approved for human implantation. Using
27 this system the Cyberkinetics company implanted a paralyzed individual who
28 could use his neural activity to play video games as well as operate a TV and
29 computer cursor, which has been covered in the popular press. Although this
30 work did not incorporate robotic motion or dynamics it certainly has pushed
31 forward the BMI/BCI capacity in humans and hopefully will help perfect the
32 surgical implantation of such electrode arrays. This work also demonstrates
33 that the motor areas of a paralyzed individual can still be used to control at least
34 kinematic variables such as cursor position.

37 11.5 Conclusion and Perspective

38
39
40 As we have seen the neural activity in the movement related brain regions
41 appear to represent more than one simple variable, with regions around the
42 central sulcus having both kinematic and dynamic like representations (PMd,
43 PMv, SMA, M1, 3a, 3b, 1 and 2). The timing of this movement related activity
44 has different distributions between many of the sensory motor control regions,
45 however, several of these regions do have some activity before initiation of

01 movement, during movement, and even postural aspects that remain after
02 movement, such as a direction dependant hysteresis (Prud'homme and Kalaska
03 1994). In a recent paper it has been suggest that we look for the representation
04 of spatiotemporal movement related variables, such as preferred pathlets rather
05 than the preferred direction, or any other instantaneous representation, which
06 may prove fruitful (Hatsopoulos et al. 2007).

07 Over the past few years we have seen an explosion in the number of publica-
08 tions on brain machine interfacing (BMI), and it should now be apparent
09 that such technology can be used to ask fundamental questions about the
10 sensory motor control system. By giving animals and humans real time neural
11 control over different movement related variables while having a robotic system
12 directly move the subjects arm, or simply allowing their neural activity to move
13 a visual cursor, we may be able to further tease apart just what the different
14 brain regions are computing during the different stages involved in motor
15 control. In essence one can think of the BMI as the evolution of the robotic
16 manipulandum.

17
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